## STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

AUG 0 3 2001

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER DYX-016.1 US

09/825,517

04/03/2001

Isaac Jesus Rondon

**CONFIRMATION NO. 2019** 

**FORMALITIES LETTER** 

OC000000006135966

Leon R. Yankwich, Esq. YANKWICH & ASSOCIATES 130 Bishop Allen Drive Cambridge, MA 02139

Date Mailed: 06/01/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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01 FC:105

20.00 CH

110.00 DP

# For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

AUG 0 3 2001 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Rondon and Ladner

Serial No.:.

09/825,517

ART UNIT:

Filed:

April 3, 2001

Title:

BINDING PEPTIDES FOR CARCINO-

EMBRYONIC ANTIGEN (CEA)

**EXAMINER:** 

Atty. Docket No.: DYX-016.1 US

**Assistant Commissioner for Patents** 

**BOX MISSING PARTS** Washington, DC 20231

### TRANSMITTAL LETTER

Sir:

Transmitted herewith are: [X] a Response to a Notice to File Missing Parts; [X] a check in the amount of \$130.00 in payment of the fee under 37 C.F.R. § 1.16(e); [X] an executed combined Declaration/Power of Attorney; [X] Substitute Sequence Listing Sheets 1-48; [X] a substitute computer readable form; and [X] 8 sheets of substitute drawings (Figs. 1-7), for filing in the above-captioned patent application.

### FEE FOR ADDITIONAL CLAIMS

- [X] A fee for additional claims is not required.
- [ ] A fee for additional claims is required. The additional fee has been calculated as shown below:

	CLAIMS	HIGHEST	NUMBER OF		
<b>7</b>	REMAINING	NUMBER	EXCESS	RATE	FEES DUE
	AFTER	PREVIOUSLY	CLAIMS		
	AMENDMENT	PAID FOR			
TOTAL CLAIMS	<u>121</u>	121	<u>0</u>	× \$9	= 0.00
INDEPENDENT	<u>46</u>	<u>46</u>	<u>0</u>	× \$40	= 0.00
FIRST INTRODUCTION OF MULTIPLE DEPENDENT CLAIM +\$135					= 0.00
TOTAL FEES DUE					= 0.00

[ ] Small entity status has been established previously for Applicant(s) in this case.

The PTO did not receive the following listed item(8) A check \$130, but a check

PETIT	Extension is requested under 37 CFR 1.136(a), and the following extension fee is applicable for the paper(s) filed herewith: [ ] \$110.00 for response within first month pursuant to 37 CFR 1.17(a)(1); [ ] \$390.00 for response within second month pursuant to 37 CFR 1.17(a)(2); [ ] \$890.00 for response within third month pursuant to 37 CFR 1.17(a)(3); [ ] \$1,390.00 for response within fourth month pursuant to 37 CFR 1.17(a)(4); [ ] \$1,890.00 for response within fifth month pursuant to 37 CFR 1.17(a)(5).				
[]	A check in the amount of [] $$110.00$ [] $$390.00$ [] $$1,390.00$ [] $$1,890.00$ in payment of the extension fee is transmitted herewith. {check no. }				
[]	Please charge the requisite fee of [] \$110.00 [] \$390.00 [] \$890.00 [] \$1,390.00 [] \$1,890.00 in payment of the additional extension fee to Deposit Account No. $\underline{50-0268}$ .				
OTHE [X]	ER FEES  A check in the amount of \$130.00 in payment of the oath or declaration surcharge fee under 37 C.F.R. § 1.16(e) is transmitted herewith. {check no. 3596}				
[X]	The Commissioner is hereby authorized to charge payment of any additional fees required in connection with the paper(s) and enclosures transmitted herewith, or to credit any overpayment of same, to Deposit Account No. <u>50-0268</u> . A duplicate of this transmittal letter is enclosed.				
	Respectfully submitted,				
	Thomas R. Bela				
	Thomas R. Berka, Ph.D., Reg. No. 39,606				
, <b>*</b> **	Leon R. Yankwich, Reg. No. 30,237 Attorney for Applicant(s)				
	YANKWICH & ASSOCIATES				
1	130 Bishop Allen Drive				
,	Cambridge, MA 02139				
	tel.: (617) 491-4343				
	fax: (617) 491-8801				
	CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. § 1.8				
	ndersigned hereby certifies that this correspondence and accompanying enclosures are being				
	sited with the U.S. Postal Service as First Class Mail, postage prepaid, in an envelope addressed to				
	sst. Commissioner for Patents, Box MISSING PARTS, Washington, DC 20231, on the date				
Indica	ted below.				
1_0	Rugust 1, 2001 Wystany X. Leicht				
	ate of mailing and signature Stephanie L. Leicht				